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| TRANSMITTAL LETTER   |                                 |                     | Case No. 11279/3       |
|--|---------------------------------|---------------------|------------------------|
| Serial No.<br>10/030,485   | Filing Date<br>October 22, 2001 | Examiner<br>Unknown | Group Art Unit<br>1614 |
| Inventor(s)<br>Mira et al.   |                                 |                     |                        |
| Title of Invention<br>JOYNEURONAL EXOCYTOSIS INHIBITING PEPTIDES AND COSMETIC AND PHARMACEUTICAL COMPOSITIONS CONTAINING SAID PEPTIDES |                                 |                     |                        |

OIPE  
MAY 20 2003  
PATENT & TRADEMARK

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in dup.); Information Disclosure Statement with attached PTO Form 1449 (in dup.); 3 References; and postcard evidencing receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

|   | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total                                     |                                  | Minus |                                 |               |
| Indep.                                    |                                  | Minus |                                 |               |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               |

| Small Entity    |           | or | Other Than Small Entity |           |
|-----------------|-----------|----|-------------------------|-----------|
| Rate            | Add'l Fee |    | Rate                    | Add'l Fee |
| x \$9 =         |           |    | x \$18 =                |           |
| x 42 =          |           |    | x \$84 =                |           |
| + \$140 =       |           |    | + \$280 =               |           |
| Total add'l fee | \$        |    | Total add'l fee         | \$        |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Heidi A Dare  
Heidi A. Dare  
Registration No. 50,775  
Attorney for Applicant  
Customer No. 00757 - Brinks Hofer Gilson Lione

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 16, 2003.

Date: May 16, 2003

Signature: Heidi A Dare



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

May 16, 2003

Date of Deposit

Heidi A. Dare, Reg. No. 50,775

Name of Applicant, Assignee or  
Registered Representative

*Heidi A. Dare*

Signature

May 16, 2003

Date of Signature

IDS #9  
**RECEIVED**  
6-3-03  
MAY 22 2003  
TECH CENTER 1600/2900

Our Case No.: 11279/3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Mira, et al.

Serial No.: 10/030,485

Filing Date: October 22, 2001

For: NEURONAL EXOCYTOSIS  
INHIBITING PEPTIDES AND  
COSMETIC AND  
PHARMACEUTICAL  
COMPOSITIONS CONTAINING  
SAID PEPTIDES

Examiner: Unknown

Group Art Unit No.: 1614

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents

listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

**FOREIGN PATENT DOCUMENTS**

| <b>No.</b>  | <b>Date</b>        | <b>Name</b> | <b>Country and PCT No.</b> |
|-------------|--------------------|-------------|----------------------------|
| WO 97/34620 | September 25, 1997 | Montal      | PCT/US97/04393             |

**OTHER ART DOCUMENTS**

| <b>No.</b> | <b>Title and Author</b>  |
|------------|--|
| A2         | Gutierrez, L.M., et al., " <i>A Peptide that Mimics the C-terminal Sequence of SNAP-25 Inhibits Secretory Vesicle Docking in Chromaffin Cells,</i> " The Journal of Biological Chemistry, Vol. 272, No. 5, 31 January 1997, (31.01.97), pages 2634-2639, the whole document, also available on line at <a href="http://www-jbc.stanford.edu/jbc/">http://www-jbc.stanford.edu/jbc/</a> |
| A3         | Oyler, G.A. et al., " <i>The identification of a Novel Synaptosomal-Associated Protein, SNAP-25, Differentially Expressed by Neuronal Subpopulations,</i> " The Journal of Cell Biology, Vol. 109, No. 6, December 1989 (12.89), pages 3039-3052, the whole document.  |

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

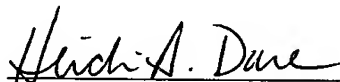
This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks

Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. § 1.97(e)(1), Applicant(s) hereby certify that each item of the information disclosed in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of the present statement, as evidenced by the date of the enclosed search report.

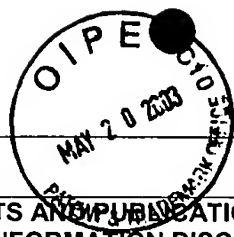
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in cursive script, reading "Heidi A. Dare", written over a horizontal line.

Heidi A. Dare  
Registration No. 50,775  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
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Chicago, IL 60610  
(312) 321-4200



|   |                                 |                            |
|---|---------------------------------|----------------------------|
| FORM PTO-1449   | SERIAL NO.<br>10/030,485        | CASE NO.<br>11279/3        |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT | FILING DATE<br>October 22, 2001 | GROUP ART UNIT<br>1614     |
| (use several sheets if necessary)   |                                 | APPLICANT(S): Mira, et al. |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|--|------|------|--------------------|----------------|
|                     |  |      |      |                    |                |

FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE      | COUNTRY | CLASS/<br>SUBCLASS  | TRANSLATION<br>YES OR NO |
|---------------------|--|-----------|---------|---------------------|--------------------------|
|                     | A1<br>WO 97/34620  | 9-25-1997 | PCT     | IPC7, C07k,<br>A61K | Yes                      |

OTHER ART – NON PATENT LITERATURE DOCUMENTS

| EXAMINER<br>INITIAL | <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small> |   |  |  |  |
|---------------------|---|---|--|--|--|
|                     | A2  | Gutierrez, L.M., et al., "A Peptide that Mimics the C-terminal Sequence of SNAP-25 Inhibits Secretary Vesicle Docking in Chromaffin Cells," The Journal of Biological Chemistry, Vol. 272, No. 5, 31 January 1997, (31.01.97), pages 2634-2639, the whole document, also available on line at <a href="http://www-jbc.stanford.edu/jbc/">http://www-jbc.stanford.edu/jbc/</a> |  |  |  |
|                     | A3  | Oyler, G.A. et al., "The identification of a Novel Synaptosomal-Associated Protein, SNAP-25, Differentially Expressed by Neuronal Subpopulations," The Journal of Cell Biology, Vol. 109, No. 6, December 1989 (12.89), pages 3039-3052, the whole document   |  |  |  |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.